

Report on Public Disciplinary Action (Last updated on 18 August 2024)

公開紀律處分報告(最後更新日期:2024年8月18日)

Certificant/Member Name 持證人/會員姓名	Certificant/ Member number 持證人/會員 編號	Category 類別	Disciplinary Action 紀律行動	Reason for the Disciplinary Action 採取紀律行動的原因
Wong Wing Fat 黃永發	CFPHK06579	CFP Certificant CFP 持證人	A suspension on the CFP certificant's right to use the CFP marks for a six (6) months period from 18 August 2024 to 17 February 2025. (both dates inclusive) and complete continuous education of six (6) additional CPT hours on professional ethics and compliance requirement before the end of the above suspension period. 1	Violation of provision 4, 8, 19 and 23 of Part II of IFPHK Code of Ethics and Professional Responsibility 違反香港財務策劃師學 會《專業操守及責任》 乙部第 4,8,19 及 23 條

A Public Disciplinary Action shall remain posted on the IFPHK Website for such period as Professional Ethics Review

Committee determine but usually one year unless the disciplinary action involves suspension in which case the posting
will normally be maintained for the period of suspension and one year thereafter

香港財務策劃師學會按照由專業操守檢討委員會釐定的期限在其網站上發表公開紀律處分,通常為期一年。若果紀律處分 涉及暫停牌照,發表期通常會由當事人被暫停牌照起,到當事人恢復牌照後一年為止。



香港財務策劃師學會 INSTITUTE OF FINANCIAL PLANNERS OF HONG KONG

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